

2009 GOVERNANCE SUMMIT

FRAUD, RISK, ETHICS & COMPLIANCE

DECEMBER 9-10, 2009

Embassy Suites - Cool Springs

Franklin, Tennessee

Nashville Metro Area

REGISTER BY NOVEMBER 23 TO RECEIVE A DISCOUNTED RATE.
Visit www.coopergrouptraining.com to register online!



WHO SHOULD ATTEND

Finance and accounting professionals, internal and external auditors, fraud examiners, ethics and compliance professionals, legal professionals and academia.

14 TIMELY AND RELEVANT TOPICS

- Fraud Prevention and Detection
- Risk Management
- Internal and External Audit
- Ethics and Compliance
- Corporate Governance

QUALIFIES FOR UP TO 16 HOURS OF CPE

- Includes 3 Hours of Behavioral Ethics

12 PROMINENT SPEAKERS

- Speakers who have appeared on the *BBC*, *CNBC*, *FOX*, *PBS*, *NBC*, *CSPAN*, *NPR*, *ABC* and award-winning documentary films
- Two persons named *TIME* magazine Persons of the Year for their role in exposing the Enron and WorldCom scandals
- FBI Case Agent on the HealthSouth Investigation
- One of Barbara Walters 10 Most Fascinating People of 2002
- Recipient of the prestigious "Thomas Clark Fellow Award" from Chief Justice William Rehnquist
- Regent Emeritus and Fellow for the Association of Certified Fraud Examiners



INSTITUTE OF INTERNAL AUDITORS

 COOPERGROUP



ABOUT THE 2009 GOVERNANCE SUMMIT

With the recent financial meltdown, there is increased pressure and risk related to fraud, ethics and compliance. In this conference, we will discuss current trends, best practices and lessons learned in the areas of:

- Fraud Prevention and Detection
- Enterprise Risk Management
- Ethics and Compliance
- Corporate Governance
- Internal and External Audit

Twelve experts in the fields of Law, Accounting, Auditing, Ethics and Compliance will discuss 14 timely and relevant topics.

Register now at www.coopergrouptraining.com for this exciting, educational, and informative conference.

12 DISTINGUISHED PRESENTERS INCLUDE:

- Speakers who have appeared on the *BBC, CNBC, FOX, PBS, NBC, CPAN, NPR, ABC* and award-winning documentary films.
- Two persons named *TIME* magazine Persons of the Year for their role in exposing the Enron and WorldCom scandals
- FBI Case Agent on the HealthSouth Investigation
- One of Barbara Walters' 10 Most Fascinating People of 2002
- Recipient of the prestigious "Thomas Clark Fellow Award" from Chief Justice William Rehnquist
- Regent Emeritus and Fellow for the Association of Certified Fraud Examiners
- Recipient of the *CourtTV* Scales of Justice Award
- Featured guest on *CNBC's American Greed*
- Lead implementer of the FBI's corporate-style compliance program, the first of its kind for the federal government. The FBI was recognized in September 2009 by the Society of Corporate Compliance and Ethics (SCCE) with its prestigious Compliance and Ethics Award for pioneering leadership in the compliance and ethics profession.
- Author of *Surviving and Thriving in Uncertainty: Creating the Risk Intelligent Enterprise* (scheduled for publication by John Wiley & Sons, early 2010)
- AICPA Hall of Fame inductee and recipients along with Senator Sarbanes and Representative Oxley, of the Maria and Sidney E. Rolfe Award
- Co-Author of *7 Chinks in Your Expert's Armor*
- Author of three books: *Building a World Class Compliance Program; Foreign Corrupt Practices Act Compliance Guide book; and Identity Theft Handbook*
- Co-Author of *Executive Roadmap to Fraud Prevention and Internal Control*
- Co-Author of *Power Failure: The Inside Story of the Collapse of Enron*
- Author of *Extraordinary Circumstances*, a book about the rise and fall of the telecom giant, WorldCom

14 DYNAMIC PRESENTATIONS:



- *Bernard Madoff and Ponzi Schemes: The Unraveling of Investment Schemes During the Financial Crises*
- *The Financial Meltdown and Recent Scandals: Causes, Commonalities and Lessons Learned*
- *Leadership Lessons from Enron: Bulletproofing Your Organization is Simple but not Easy*
- *HealthSouth: Collapse of a Rehab Empire*
- *WorldCom: One of the Largest Corporate Frauds in History*
- *Surviving and Thriving in Turbulence: Enterprise Risk Management for the Future*
- *My 35 Years as a Fraud Investigator: Lessons and Best Practices From the Front Lines*
- *The Value of Values: Why Ethics Matter*
- *Assessing Fraud Risk: Everybody's Business*
- *Culture is as Culture Does: Fostering a Do-The-Right-Thing Culture to Reduce Risk and Drive Opportunity*
- *The Evil That is Identity Theft: The Past, Present and Future of this Global Epidemic*
- *The Foreign Corrupt Practices Act: The Worldwide Fight Against Bribery and Corruption*
- *Ethics and Compliance Programs: Ensuring that Your Organization has a Best Practice Program*
- *Ethical Leadership: Where Do We Go From Here*



PROMINENT SPEAKERS



SHERRON WATKINS, CPA (IN TX), MPA

Leadership Advocate, Speaker & Author

As Former Vice President of Enron Corporation, Ms. Watkins alerted then-CEO Ken Lay to accounting irregularities within the company. She will share the riveting story of what went wrong at Enron and what you can do to bullet-proof your organization.

- Testified before congressional committees from the house and senate investigating Enron's demise
- One of *TIME* magazine's Persons of the Year
- Received the CourtTV Scales of Justice Award
- Named one of the Women of Year by *Glamour* Magazine
- One of Barbara Walter's 10 Most Fascinating People of 2002
- Featured in publications such as the *New York Times*, *Washington Post* and *Newsweek*
- Appeared in award-winning documentary films including *Enron*, *The Smartest Guys in the Room*
- Co-author, along with prize-winning journalist, Mimi Swartz, of *Power Failure*, the *Inside Story of the Collapse of Enron*, published by Doubleday in March 2003



MARTIN BIEGELMAN, CFE, CCEP

*Director of Financial Integrity,
Microsoft Corporation*

Martin Biegelman has been fighting fraud and corruption for more than 35 years in various roles in law enforcement, consulting, and the corporate sector. At Microsoft Corporation, he built and leads a worldwide fraud detection, investigation and prevention program.

- Regent Emeritus and Fellow of the Association of Certified Fraud Examiners (ACFE)
- Recipient of the prestigious Cressey Award in 2008. This award is given annually by the ACFE for lifetime achievements in the detection and deterrence of fraud
- International speaker and author of *Building a World-Class Compliance Program: Best Practices and Strategies for Success and Identity Theft Handbook: Detection, Prevention and Security*
- Co-Author of *Executive Roadmap to Fraud Prevention and Internal Control: Creating a Culture of Compliance*
- His new book - *Foreign Corrupt Practices Act Compliance Guidebook* will be published in 2010
- In 2008, he was appointed by Washington State Governor Christine Gregoire to serve on the Washington State Executive Ethics Board



CYNTHIA COOPER, CFE, CISA *Author, Motivational Speaker, CEO of The CooperGroup, LLC*

Ms. Cooper and her team unraveled the fraud at WorldCom, to date one of the largest corporate frauds in history. She has more than twenty years of diversified corporate and consulting experience serving clients that have included large and small-cap public corporations, private companies and government entities.

- Served on panels with notables such as Brian Williams, Anderson Cooper and Grover Norquist and appeared on programs including *Fox Business' American's Nightly Scoreboard*, *PBS's Tavis Smiley*, *NBC's The Today Show*, *ABC's This Week with George Stephanopoulos*, *CSPAN's Book TV*, *CNBC's The Big Idea with Donnie Deutsch* and *CNBC's Squawk Box*
- Named one of *TIME* magazine's Persons of the Year
- Author of *Extraordinary Circumstances*
- Inducted into the AICPA Hall of Fame and recipient, along the Senators Sarbanes and Representative Oxley, of the Maria and Sidney E. Rolfe Award
- Recipient of the American Accounting Association's Exemplar Award, which is awarded annually for notable contributions to professionalism and ethics in accounting practice or education
- Featured in 2004 as one of 25 influential working mothers in *Working Mother* magazine
- Featured in national periodicals such as *The Wall Street Journal*, *USA Today*, *CFO Magazine* and *Business Week*



EMIL MOSCHELLA, J.D. *Attorney-at-Law: Ethics and Compliance, The CooperGroup, LLC*

Mr. Moschella has extensive experience in both the government and private sectors. He served for over 28 years as an FBI Agent and investigated primarily white-collar crimes. He was promoted to various Senior Executive Service positions at FBI Headquarters in Washington D.C., including Legislative Counsel, Chief of the Freedom of Information and Privacy Acts Section, and Chief of the Legal Advice and Training Section.

- Implemented a corporate-style compliance program at the FBI, the first of its kind for the federal government. For its efforts, the FBI was recognized by the Society of Corporate Compliance and Ethics (SCCE) with its prestigious Annual Compliance and Ethics Award in September 2009, for pioneering leadership in compliance and ethics profession.
- Headed the ethics and compliance function for Horizon Blue Cross Blue Shield in New Jersey, where he implemented best practices and took the program from a fledging activity to an integrated part of the business
- Graduate of Fordham College and Brooklyn Law School
- Member of the Bar of the Commonwealth of Virginia



PROMINENT SPEAKERS



MARK ROWE, CCEP

Ethics & Compliance Consultant

Mr. Rowe is an accomplished Ethics & Compliance consultant with extensive experience advising major corporations on all aspects of developing and

enhancing enterprise-wide business integrity programs. He helps clients align their business conduct standards, program infrastructure, operational processes and culture with a robust commitment to ethical and legally compliant business practices, resulting in superior performance, reduced risk and strengthened stakeholder relations.

- Established SAI Global's Compliance and Ethics Advisory Services practice for the Americas from scratch, serving Global 2000 clientele
- As Managing Partner of Hoffman Rowe, collaborated with Dr. W. Michael Hoffman, an internationally known authority and innovator in the field of business ethics
- Research Fellow at the Center for Business Ethics at Bentley University
- Published 14 articles in leading academic and practitioner journals
- Authored entries in the *Encyclopedia of Business Ethics and Society* and *The Blackwell Encyclopedia of Management (Business Ethics)*
- Provided commentary and analysis for CNN, CNBC, NECN and other TV news stations and the press, and appeared on NPR's "Marketplace" and "The Connection" shows
- Practiced as a commercial lawyer for over 10 years



SANDY PUNDMANN

Partner, Deloitte and Touche LLP

Ms. Pundmann is a Senior Internal Audit Partner and Governance, Risk and Regulatory market leader. She has over 28 years of professional experience

in finance, risk management, internal audit, risk management, accounting and information technology.

- Works with board members, chief financial officers, compliance officers and chief audit executives to develop leading edge risk programs
- Previously served as Chief Audit Executive for a Fortune 50 internal audit organization leading 70+ internal audit professionals
- A Women's Initiative leader integrally involved in helping professional women enhance and develop their careers
- Member of American Institute of Certified Public Accountants and Illinois Society of Certified Public Accountants. Sandy is also a Certified Internal Auditor



RICK FUNSTON

Principal, Deloitte & Touche LLP

Mr. Funston has over thirty years of experience working with directors and officers in small, mid and large cap companies across a broad array of sectors. He works with Boards of Directors and senior executives on means to improve effectiveness and efficiency of governance, risk and compliance processes

- Created the concept of risk intelligence for value creation and value protection
- Author of the book *Surviving and Thriving in Uncertainty: Creating The Risk Intelligent Enterprise* (Scheduled for publication by John Wiley & Sons, early 2010)
- Frequent speaker and author of numerous articles on enterprise risk management and creating and sustaining risk intelligence for competitive advantage



TRENT WATROUS, CPA, CVA, CFE

Partner, Whisenant, Stewart, Watrous & Associates, PLLC

Mr. Watrous has over 16 years of experience in the areas of tax, audit, accounting and forensic accounting. He is currently in the Forensic and Litigation Practice at Whisenant, Stewart, Watrous & Associates.

- Served as instructor to Special Agents of the FBI on topics ranging from financial statement fraud to money laundering
- Provides testimony on a variety matters in state and federal court as an expert witness
- Co-Author of 7 *Chinks in Your Expert's Armor and Turn Tax Returns into Evidentiary Payoffs*
- Expertise includes managing investigations of financial fraud, white-collar fraud and accounting issues



PROMINENT SPEAKERS



PAUL FIORELLI, J.D., M.B.A.

Author, International Speaker,
Professor of Legal Studies at
Xavier University, Cincinnati, OH

Mr. Fiorelli speaks internationally on business ethics, fraud and compliance.

He is the Co-Director of the Cintas Institute for Business Ethics. He has written researched and lectured extensively on the Federal Sentencing Guidelines and completed a research grant regarding the guidelines.

- Received the prestigious "Thomas Clark Fellow Award" from Chief Justice William Rehnquist
- Recipient of the 2007 International Compliance Award from the Society of Corporate Compliance and Ethics
- Selected by the Supreme Court to serve as one of the 1998-1999 Supreme Court Fellows to work at the United States Sentencing Commission
- Selected as a member of the Advisory Group to the United States Sentencing Commission to review portions of the Federal Sentencing Guidelines
- Author of *The Federal Sentencing Guidelines: Guidelines for Internal Auditors*
- Cited in publications including Harvard, University of Chicago, Stanford and Columbia University law reviews
- Served as a Senior Fellow for the Ethics Resource Center's Fellow's Program



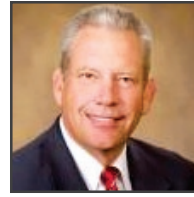
GLYN SMITH, CIA, CCSA

Principal, **The CooperGroup, LLC**

Mr. Smith has over nineteen years of diversified experience working in public accounting and with public and private sector entities including large-cap and

fast-growth public companies as well as government entities. He played a key role in unraveling the fraud at WorldCom and helping the company successfully move forward and emerge from bankruptcy.

- Former Director of Internal Audit for MCI
- Certified Instructor with The Institute of Internal Auditors
- Past President of Central Mississippi Chapter of Internal Auditors
- Featured in media such as *CNBC's American Greed*
- Featured in publications such as *The Wall Street Journal* and *CFO.com*



GERALD W. KELLY

Fraud, Forensic and Litigation Services
Whisenant, Stewart, Watrous & Associates, PLLC

Mr. Kelly has completed a 30-year professional career as a Special Agent for

the Federal Bureau of Investigations. In his current role as fraud, forensic and litigation services manager, he provides investigative oversight on matters ranging from internal investigations to complex litigation.

- Served as the FBI Case Agent on the HealthSouth corporate fraud investigation
- Presents to the FBI on the management of complex investigations
- Provided testimony in federal, state and local courts and the federal grand jury
- Case history includes cases involving government fraud, organized crime, defense contract fraud, racketeering, bank fraud, fraud by wire, mail fraud, insurance fraud, money laundering and major corporate fraud



STEPHEN MARTIN

General Counsel and
Chief Compliance Officer
Corpedia, Inc.

Mr. Martin oversees legal and compliance matters for Corpedia, Inc., a leading ethics

compliance and risk assessment consulting company located in Phoenix, Arizona, and is responsible for business strategy, including consulting with Corpedia clients. Mr. Martin leads Corpedia's involvement in the Ethisphere Council, an exclusive executive group of corporate leaders and practitioners committed to ethics and compliance in business.

- Served as a top-level Legal, Compliance and Ethics executive for Adelphia Communications Corporation, Qwest Communications Corporation and MCI WorldCom and assisted the companies in moving forward after corporate scandals.
- Served as a federal prosecutor with the United States Attorney's Office in Washington, D.C. and as an Assistant Attorney General with the Missouri Attorney General's Office.
- Clinical Professor in the Department of Business Ethics and Legal Studies in the Daniels College of Business at the University of Denver; a department ranked the top three in the world by the *Wall Street Journal* for teaching ethics.
- Juris Doctorate degree from Creighton University, Master of Laws degree from Georgetown University and Master of Business Administration degree from the University of Denver.



WEDNESDAY, DECEMBER 9

7:30 – 8:10

REGISTRATION AND CONTINENTAL BREAKFAST

8:10 – 8:20

WELCOME AND INTRODUCTION

Cynthia Walker, Board of Governors, Nashville Chapter of the IIA & District Representative #4, Central Region
Glyn Smith, Principal, The CooperGroup, LLC

8:20 – 10:00

LEADERSHIP LESSONS FROM ENRON - BULLETPROOFING YOUR ORGANIZATION IS SIMPLE BUT NOT EASY

Sherron Watkins, Former Vice President of Enron, One of *TIME* magazine's Persons of the Year

- What happened at Enron?
- Lessons learned
- How to safeguard an organization
- Warning signs: for investors, customers and employees

10:00 – 10:20

NETWORKING BREAK

10:20 – 11:10

THE FINANCIAL MELTDOWN AND RECENT SCANDALS: CAUSES, COMMONALITIES AND LESSONS LEARNED

Cynthia Cooper, Founder and CEO, The CooperGroup, LLC
One of *TIME* magazine's Persons of the Year

- What caused the financial crisis and what does it mean for your organization
- Common threads, risk indicators and lessons learned
- Building a strong ethical foundation that will lead to sustainable success
- Practical strategies for fraud prevention and detection

11:10 – 12:00

THE EVIL THAT IS IDENTITY THEFT: THE PAST, PRESENT, AND FUTURE OF THIS GLOBAL EPIDEMIC

Martin Biegelman, Director of Financial Integrity, Microsoft Corporation
Regent Emeritus and Fellow of the Association of Certified Fraud Examiners

- History and explosive growth of identity theft
- The rich, the famous, and the deceased as targets
- Terrorism and the violent side of identity theft
- The 21 prevention rules you must use to stop identity theft

12:00 – 12:45

LUNCH

12:45 – 1:35

SURVIVING AND THRIVING IN UNCERTAINTY: ENTERPRISE RISK MANAGEMENT FOR THE FUTURE

Sandy Pundmann, Partner, Deloitte & Touche LLP
Rick Funston, Principal, Deloitte & Touche LLP

- Surviving and thriving in uncertainty
- Creating a risk intelligent enterprise
- Organizational implications
- Best practices and lessons learned

1:35 – 2:30

MY 35 YEARS AS A FRAUD INVESTIGATOR: LESSONS AND BEST PRACTICES FROM THE FRONT LINES

Martin Biegelman, Director of Financial Integrity, Microsoft Corporation

Co-Author of "Executive Roadmap to Fraud Prevention and Internal Control: Creating a Culture of Compliance"

- Unheeded warning signs
- The criminal mindset
- Unique fraud theories
- Best practices in fraud detection, investigation and prevention

2:30 – 2:50

NETWORKING BREAK

2:50 – 3:40

CULTURE IS AS CULTURE DOES – FOSTERING A DO-THE-RIGHT-THING CULTURE TO REDUCE RISK AND DRIVE OPPORTUNITY

Mark Rowe, Compliance and Ethics Consultant
Research Fellow at the Center for Business Ethics at Bentley University

- Culture and compliance effectiveness: What have we learned in five years?
- The values-action gap: How to tell if bridges are down in your organization
- Persistent challenges in a global business environment
- Foundations for a robust ethical culture: some action-oriented strategies for motivating integrity-driven performance
- How will we know when we get there?

3:40 - 4:30

THE FOREIGN CORRUPT PRACTICES ACT: THE FIGHT AGAINST BRIBERY AND CORRUPTION

Martin Biegelman, Director of Financial Integrity, Microsoft Corporation

- FCPA red flags and worldwide hotspot of corruption
- Business, financial, legal, and reputational risks associated with bribery and corruption worldwide
- Case studies FCPA violations and enforcement actions



THURSDAY, DECEMBER 10

7:30 – 8:20

CONTINENTAL BREAKFAST

8:20 – 10:00

WORLD.COM: ONE OF THE LARGEST CORPORATE FRAUDS IN HISTORY

Cynthia Cooper, Founder and CEO, **The CooperGroup, LLC**
Author of "Extraordinary Circumstances," a book about her experiences at WorldCom

- The rise and fall of a behemoth
- The aftermath and lessons learned
- Who commits fraud and why
- Ten tips to help recognize and sort through ethical dilemmas

10:00 – 10:20

NETWORKING BREAK

10:20 – 11:10

THE VALUE OF VALUES: WHY ETHICS MATTER

Paul Fiorelli, J.D./M.B.A., Co-Director of the Cintas Institute for Business Ethics,
Professor of Legal Studies, **Xavier University**

Selected as a member of the Advisory Group to the **United States Sentencing Commission** to review portions of the Federal Sentencing Guidelines

- Vices and virtues that have impacted our economy
- Parable of Sadhu - Just because it is legal doesn't mean it is ethical
- Ethical Lapses that led to the bailout
- How to pump-up your organization's ethical muscle memory

11:10 – 12:00

ASSESSING FRAUD RISK: EVERYBODY'S BUSINESS

Glyn Smith, Principal, **The CooperGroup, LLC**

Featured Interview on CNBC's American Greed

- Three common failures sure to sink a fraud risk assessment
- Performing a meaningful fraud risk assessment: It takes a village
- Blinders off: A broader view of fraud risk

12:00 – 12:45

LUNCH

12:45 – 1:35

PONZI SCHEMES & BERNARD MADOFF: THE UNRAVELING OF INVESTMENT SCHEMES DURING THE FINANCIAL CRISIS

Trent Watrous, Partner, **Whisenant, Stewart, Watrous & Associates, PLLC**

Co-Author of "7 Chinks in Your Experts Armour"

- Defining the true ponzi scheme: Was Madoff the real thing?
- Appearances of a ponzi scheme: Outside looking in; Inside looking out
- Pitfalls of ponzi schemes: Proceeds, paybacks & records
- Investigative lessons learned: The need to know

1:35 – 2:30

ETHICS & COMPLIANCE: ENSURING THAT YOUR ORGANIZATION HAS A BEST PRACTICE PROGRAM

Emil Moschella, Ethics and Compliance, **The CooperGroup, LLC**

Implemented a corporate style compliance program at the FBI - the first of its kind for the federal government

- Why ethics and compliance programs matter
- Elements of a best practice program
- History of compliance programs
- How to evaluate your organizations program

2:30 – 2:50

NETWORKING BREAK

2:50 – 3:40

HEALTHSOUTH: COLLAPSE OF A REHAB EMPIRE

Gerry Kelly, Manager, **Whisenant, Stewart, Watrous & Associates, PLLC**

Served as the FBI Case Agent on the **HealthSouth** investigation

- Richard Scrushy's management style
- Topside entries and "angel dust"
- Developing witnesses: When CFOs roll
- Prosecuting the executives

3:40 - 4:30

PANEL DISCUSSION

WHERE DO WE GO FROM HERE: EMERGING TRENDS IN GOVERNANCE

Moderator: **Glyn Smith**, Panelist: **Stephen Martin**, **Cynthia Cooper**, **Emil Moschella**, and **Trent Watrous**

An interactive discussion with a distinguished panel about the current state, future challenges, best practices and emerging trends in governance. The panel will reflect on themes presented during the Governance Summit and also discuss what is on the horizon in the areas of fraud, risk, ethics & compliance.

ABOUT THE CONFERENCE

REGISTER BY NOVEMBER 23, 2009

AND **SAVE \$40!**

LEARNING OBJECTIVES:

- Reviewing practical strategies for recognizing ethical dilemmas, thinking them through and making the right decisions
- Recognizing the elements of behavioral ethics
- Understanding commonalities, risk indicators and lessons learned related to recent scandals and the current financial crisis
- Reviewing fraud prevention and detection – lessons and best practices from the front lines
- Understanding the Foreign Corrupt Practices Act and anti-corruption program best practices
- Reviewing trends and best practices related to governance, ethics and compliance programs and enterprise risk management.

CPE CREDITS:

- Earn up to 16 hours of CPE (including 3 hours of behavioral ethics)
- CPE is based on 50 minute increments.
- The co-organizer of this conference is the Nashville chapter of the Institute of Internal Audit (IIA). The Nashville IIA Chapter is an approved, exempt provider of live-group CPE in Tennessee. State boards of accountancy have final authority on the acceptance of individual courses.
 - Program Level: Intermediate
 - Prerequisites: None
 - Delivery Method: Group – Live
 - Advanced Preparation: None

LOCATION:

Embassy Suites
Nashville South-Cool Springs
820 Crescent Centre Drive
Franklin, TN 37067

Directions to the Hotel:

From I-65 North - Take the Cool Springs Boulevard. Exit (Exit 68 A East). Turn right onto Carothers Parkway. Turn right on Crescent Centre Drive. Hotel is on the right.

HOTEL ACCOMMODATIONS:

Contact the Embassy Suites Nashville South – Cool Springs at 615-515-5151 to make reservations.

REGISTRATION COST: **Deadline is December 5, 2009**

- Early Registration Discount rate - \$350.00 before November 23.
- Registration Fee - After November 23 - \$390.00
- Registration includes the two day conference, continental breakfast, hot lunch and afternoon snack each day.
- A 10% discount is available for groups of 10 from the same organization.
- Registration fee must be paid in full to attend the conference.
- Payment **MUST** be received prior to December 5, 2009.
- Advance Registration is required.
- Space is limited - send in your registration form today!

Register online with credit card at www.coopergrouptraining.com.

To pay by check, complete the registration form attached, make checks payable to: The CooperGroup, LLC

Mail to: **2009 Governance Summit, CooperGroup, P.O. Box 805, Jackson, MS 39205**

Refunds: Requests for refunds/cancellations must be received in writing. Cancellations received after November 15 will be subject to a 50% cancellation fee. No refunds will be given after December 1. Substitutions are allowed and encouraged. Please contact esaxton@coopergroupllc.com with substitution or cancellation requests.

The schedule, speakers and topics are subject to change, if necessary, after registration has been confirmed. The attendees will be notified of changes in speakers and sessions. The conference organizers will not be responsible for penalties for cancellation/change fees related to travel arrangements or conference fees as result of conference changes. The fees, speech topics and content are subject to change without notice.

For more information or questions regarding the conference, refunds, and/or program cancellation policies, please contact Cindy Walker, Board of Governors, Nashville Chapter of the IIA & District Representative #4, Central Region at 615-369-2343 or Elaine Saxton, Principal, The CooperGroup, LLC at 601-488-4262.



INSTITUTE OF INTERNAL AUDITORS

COOPERGROUP

WWW.COOPERGROUPTRAINING.COM



REGISTRATION FORM

2009 GOVERNANCE SUMMIT: FRAUD, RISK, ETHICS & COMPLIANCE December 9-10, 2009

REGISTER NOW online at www.coopergrouptraining.com

PERSONAL INFORMATION (indicates required field*)

First Name* _____

Last Name* _____

Badge Name _____

Title _____

Company* _____

Address* _____

City* _____

State* _____

Zip Code* _____

Telephone* _____

Fax _____

E-mail* _____

REGISTRATION FEES (16 Hours of CPE)

- Early Registration Fee (Before 11/23/09) - \$350.00
- Registration Fee (After 11/23/09) - \$390.00

PAYMENT INFORMATION

- Check
(Payable to CooperGroup)
Send payments to:
2009 Governance Summit
CooperGroup
P.O. Box 805
Jackson, MS 39205

Cancellation Policy/Refunds:

Requests for refunds/cancellations must be received in writing. Cancellations received after November 15 will be subject to a 50% cancellation fee. No refunds will be given after December 1.

- Credit Card
Register online using a credit card at www.coopergrouptraining.com

SPECIAL REQUESTS AND NEEDS

- Request Vegetarian Lunch
- Special Needs (Please specify your need below)

For additional information or questions, please contact Cindy Walker, Board of Governors, Nashville Chapter of the IIA & District Representative #4, Central Region at 615.369.2343, or Elaine Saxton, Principal of The CooperGroup, LLC at 601.488.4262.